

539085  
Rec'd PCT/PTO 15/07/2005

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date  
1 July 2004 (01.07.2004)

PCT

(10) International Publication Number  
WO 2004/055557 A1

(51) International Patent Classification<sup>7</sup>: G01B 5/18, (74) Agent: HAMMERSLEY, John; Harrison Goddard Foote, Orlando House, 11c Compstall Road, Marple Bridge, Stockport SK6 5HH (GB).

(21) International Application Number:

PCT/GB2003/005463

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(22) International Filing Date:

12 December 2003 (12.12.2003)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

022920.2 17 December 2002 (17.12.2002) GB

(84) Designated States (regional): ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant (for all designated States except US): QINETIQ LIMITED [GB/GB]; Cody Technology Park, Ively Road, Farnborough, Hampshire GU14 0LX (GB).

Declaration under Rule 4.17:

— of inventorship (Rule 4.17(iv)) for US only

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(72) Inventors; and

(75) Inventors/Applicants (for US only): ERRY, Gavin, Robert, Geoffrey [GB/US]; Kestrel Corporation, 3815 Osuna Road, NE, Albuquerque, NM 87109 (US). HARRISON, Paul [GB/US]; Kestrel Corporation, 3815 Osuna Road, NE, Albuquerque, NM 87109 (US). SCOTT, Andrew, Maxwell [GB/GB]; Qinetiq Limited, Malvern Technology Park, St Andrews Road, Malvern, Worcestershire WR14 3PS (GB).

WO 2004/055557 A1

(54) Title: RADIATION FOCUSsing ELEMENT

(57) Abstract: The or at least one surface of a reflective or transmissive radiation focussing element is provided with at least one phase or amplitude diffraction grating that is distorted substantially according to a quadratic function. The surface or the opposed surface may also be provided with an aperture. The element is useful in three dimensional imaging and wavefront sensing systems.

Radiation Focussing Element

The present invention relates to a radiation focussing element.

International Patent Application No. WO/46768 (Secretary of State for Defence) 5 describes an imaging system which includes a diffraction grating which is distorted substantially according to a quadratic function to cause images to be formed under varying focus conditions. Our copending UK Patent Application No. 0205240.5 relates to a system for determining data relating to the local shape (or local phase 10 distribution) of a radiation wavefront, and certain embodiments of that apparatus comprise such a distorted diffraction grating.

Although particularly described in the context of optical radiation, these systems may be used with other forms of radiation.

Both of these patent applications show apparatus in which the distorted grating is located adjacent to a lens.

15 In a first aspect the present invention provides a radiation focussing element at least one surface of which is provided with at least one diffraction grating which is distorted substantially according to a quadratic function. Preferably the focussing element is or comprises a lens which is transmissive to the radiation (a dioptric element), for example a lens of glass, or a glassy material, although it could also be 20 (for example) of a polymeric material; alternatively the focussing element is or comprises a focussing reflector (a catoptric element).

In a preferred embodiment of the invention a single grating is disposed on only one surface of the focussing element. However, according to requirements, different 25 gratings may be disposed on different areas of the same surface of the focussing element, and/or (when the focussing element is a transmissive lens) a similar or different grating or gratings may be disposed on the opposed lens external surface.

In one embodiment the grating is a phase grating. It may be formed in the surface of the bulk (reflective or transmissive) element itself, for example by embossing or selective etching of the formed element, or by suitably moulding or otherwise shaping the element during manufacture.

5 Alternatively the grating may be formed in a layer covering at least part of the surface of the element, for example a layer made of a polymeric material or a glassy composition in which the grating is embossed or selectively etched.

Our copending UK Patent Application No. 0123744.5 describes and claims a method of providing an optical substrate with a surface having a desired shape, the method 10 comprising the steps of coating the surface with a thin layer of an optical glass, and subsequently modifying the shape of the external surface of the layer. As disclosed therein, the shaping of the glass layer may be imparted by etching or embossing. The glass layer may be of a chalcogenide glass, for example a glass consisting of Ge, As, Se and Te, which is rich in Te, or amorphous arsenic trisulphide. It may be deposited 15 by RF sputtering, flash evaporation, solvent evaporation or spin coating.

Furthermore, alternative processes may be utilised to form the grating, for example by coating of the surface of the focussing element with a photoresist, followed by exposure to interfering light beams, development of the resist pattern, and selective etching prior to removal of the remaining resist. In some cases, the developed resist 20 pattern may itself provide the grating without the need for etching.

Where the focussing element is a transparent lens a layer in which the grating is formed should be transmissive. Where the focussing element is reflective, the layer could again be transmissive; alternatively the grating could be formed in a reflective layer on a suitably shaped substrate so that the layer provides both the focussing and 25 grating functions.

In a development of the invention, e.g. where the size of the beam is important, an amplitude mask is located on at least one surface of the focussing element to provide an aperture. Thus in our copending UK Patent Application No. 0205240.5 mentioned above, the focussing and diffractive elements are located closely adjacent an aperture.

Such a mask could be provided in an additional layer of radiation (light) obscuring material on the said surface, either by selective deposition or selective removal, for example. Thus, whether the grating is a phase grating or an amplitude grating, it could be provided by a suitably shaped layer on the surface of the focussing element.

5 In another embodiment of the invention, the, each, or at least one of the phase gratings described above in relation to the invention is replaced by an amplitude grating. Again, this could be provided in an additional layer of radiation (light) obscuring material on a surface of the focussing element, either by selective deposition or selective removal, for example. Where the aperture mask is also  
10 present, the grating and mask may be deposited sequentially or simultaneously, and they may be on the same surface or opposed surfaces of the focussing element.

The invention extends to a three-dimensional imaging system or a wavefront sensor comprising an optical element according to the first aspect of the invention.

15 The systems described in the aforementioned International Patent Application No. WO/46768 and UK Patent Application No. 0205240.5 are prone to chromatic aberration due to the dispersive properties of the grating, and this has been a limiting factor when attempting to apply the technology with broadband or white light. Accordingly in a preferred embodiment of lens focussing element, the dispersion inherent in the grating is reduced, and more preferably substantially compensated for,  
20 by the lens itself, or one or more refractive element(s) thereof if it is a compound or composite lens. This enables the compound element to be used in a white light wavefront sensor or imaging system, for example in systems of the type described and claimed in our aforesaid patent applications.

25 By forming the grating on the surface of the focussing element, a composite optical element is formed which performs both the grating and focussing functions but which is not prone to misalignment problems between the focussing element and the grating due to shocks or other environmental factors. This advantage is compounded if an aperture is also required and it is also provided on the focussing element itself.

CLAIMS

1. A radiation focussing element at least one surface of which is provided with at least one diffraction grating that is distorted substantially according to a quadratic function.
- 5 2. A focussing element according to claim 1 wherein the focussing element comprises a radiation reflector providing said surface.
3. A focussing element according to claim 1 wherein the focussing element comprises a radiation transmissive lens providing said surface.
- 10 4. A focussing element according to claim 3 wherein only one surface of the lens is provided with a said grating.
5. A focussing element according to claim 3 or claim 4 wherein the dispersion inherent in the grating is reduced by the lens itself, or by one or more refractive element(s) thereof.
- 15 6. A focussing element according to any preceding claim wherein the grating is a phase grating.
7. A focussing element according to any one of claims 1 to 5 wherein the grating is an amplitude grating.
8. A focussing element according to any one of claims 1 to 7 wherein the grating is provided in a layer covering at least part of said surface.
- 20 9. A focussing element according to claim 8 wherein said layer is made of a glassy composition.
10. A focussing element according to claim 7 and claim 8 wherein said layer is made of a radiation obscuring material.
11. A focussing element according to any one of claims 8 to 10 wherein said layer 25 is shaped.

12. A focussing element according to claim 2 wherein the reflector comprises a reflective layer on a substrate, and said reflective layer is shaped to provide said grating.
13. A focussing element according to any one of claims 1 to 6 wherein the grating is provided in the surface of the bulk element itself.
14. A focussing element according to any preceding claim and further comprising a mask on at least one surface of the element to provide an aperture.
15. A focussing element according to claim 14 wherein a said mask is provided in a layer on a surface of the focussing element.
16. A focussing element according to claim 14 or claim 15 wherein said mask and said grating are provided on the same surface of the focussing element.
17. A transmissive focussing element according to claim 14 or claim 15 wherein said mask and said grating are provided on the opposed surfaces of the focussing element.
18. A radiation focussing element according to any preceding claim for use with optical radiation.
19. A method of making an element according to any one of claims 11 to 13 wherein the grating is formed by embossing.
20. A method of making an optical element according to claim 11 or claim 12 wherein the grating is formed by selective etching.
21. A method of making an optical element according to claim 6 wherein the focussing element is a transmissive lens and the grating is formed by moulding during manufacture of the lens.
22. A three-dimensional imaging system comprising an element according to any one of claims 1 to 16.

23. A wavefront sensor comprising an optical element according to any one of claims 1 to 16.

## INTERNATIONAL SEARCH REPORT

PCT/GB 03/05463

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 G02B5/18 G01J9/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 G02B G01J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data, INSPEC

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2002/071098 A1 (OTTEN L JOHN) 13 June 2002 (2002-06-13) figure 1 paragraphs '0041!, '0042!	1-23
X	BLANCHARD P M ET AL: "PHASE-DIVERSITY WAVE-FRONT SENSING WITH A DISTORTED DIFFRACTION GRATING" APPLIED OPTICS, OPTICAL SOCIETY OF AMERICA, WASHINGTON, US, vol. 39, no. 35, 10 December 2000 (2000-12-10), pages 6649-6655, XP001017744 ISSN: 0003-6935 figure 3 page 6650, column 1, line 8-19	1-23 -/-

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the International filing date
- \*L\* document which may throw doubt on priority, claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the International filing date but later than the priority date claimed

\*T\* later document published after the International filing date or prior date and not in conflict with the application but considered to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered novel or involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*Z\* document member of the same patent family

Date of the actual completion of the International search

Date of mailing of the International search report

4 March 2004

12/03/2004

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patenttaan 2  
NL-2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Quertemont, E

## INTERNATIONAL SEARCH REPORT

PCT/GB 03/05463

## C(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2002/044285 A1 (THIRSTRUP CARSTEN ET AL) 18 April 2002 (2002-04-18) figure 4 paragraphs '0012!, '0042! -----	1, 2, 6, 8, 9, 11-22
X	WO 99 46768 A (GREENAWAY ALAN HOWARD ;SECR DEFENCE (GB); BLANCHARD PAUL MICHEAL ()) 16 September 1999 (1999-09-16) cited in the application figure 10 page 3, line 3 - line 16 page 3, line 30 - line 31 -----	1, 6, 7, 14, 16, 18, 22
A	US 5 838 496 A (KAMIKUBO JUNJI ET AL) 17 November 1998 (1998-11-17) figure 2 -----	3, 6, 8, 9, 11, 13, 18, 21

## INTERNATIONAL SEARCH REPORT

Information on patent family members

PCT/GB 03/05463

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
US 2002071098	A1	13-06-2002	US 6286959 B1 WO 03022139 A1 US 2002151801 A1 US 2003011744 A1 US 6464357 B1	11-09-2001 20-03-2003 17-10-2002 16-01-2003 15-10-2002
US 2002044285	A1	18-04-2002	AU 8174201 A CA 2407721 A1 CN 1443305 T WO 0208800 A2 EP 1269158 A2 NZ 523939 A	05-02-2002 31-01-2002 17-09-2003 31-01-2002 02-01-2003 30-05-2003
WO 9946768	A	16-09-1999	GB 2347261 A AU 3265699 A CA 2322951 A1 DE 69902153 D1 DE 69902153 T2 EP 1064651 A2 ES 2177309 T3 GB 2350472 A, B WO 9946768 A1 JP 2002507012 T TW 516030 B	30-08-2000 27-09-1999 16-09-1999 22-08-2002 16-01-2003 03-01-2001 01-12-2002 29-11-2000 16-09-1999 05-03-2002 01-01-2003
US 5838496	A	17-11-1998	JP 9179020 A	11-07-1997